



AF  
1-100

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	09/771,363 /
		Filing Date	January 21, 2001
		First Named Inventor	Brant L. Candelore
		Art Unit	6441
		Examiner Name	Paula W. Klimach
Total Number of Pages in This Submission	9	Attorney Docket Number	80398P213

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input checked="" type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">11 Prior Art References</div>
Remarks Prior art references not included in total page count.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William W. Schaal, Reg. No. 39,018 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	April 12, 2005

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Susan McFarlane		
Signature		Date	April 12, 2005



# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)  
180.00

## Complete if Known

Application Number	09/771,363
Filing Date	January 21, 2001
First Named Inventor	Brant L. Candelore
Examiner Name	Paula W. Klimach
Art Unit	6441
Attorney Docket No.	80398P213

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments  
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

## FEE CALCULATION

### 1. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	24	33*	0
Independent Claims	4	5*	0
Multiple Dependent			
Large Entity			
Small Entity			
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1202	50	2202	25
1201	200	2201	100
1203	360	2203	180
1204	300	2204	150
1205	300	2205	150
SUBTOTAL (1)		(\$)	0.00

\*\*or number previously paid, if greater, For Reissues, see below

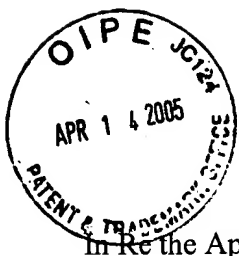
### 2. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65
1052	50	2052	25
2053	130	2053	130
1251	120	2251	60
1252	450	2252	225
1253	1,020	2253	510
1254	1,590	2254	795
1255	2,160	2255	1,080
1401	500	2401	250
1402	500	2402	250
1403	1,000	2403	500
1451	1,510	2451	1,510
1460	130	2460	130
1807	50	1807	50
1806	180	1806	180
1809	790	1809	395
1810	790	2810	395
SUBTOTAL (2)		(\$)	180.00

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	William W. Schaal	Registration No. (Attorney/Agent)	39,018	Telephone	(714) 557-3800
Signature		Date	04/12/05		



Docket No.: 80398P213

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

BRANT L. CANDELORE

Application No.: 09/771,363

Filed: January 21, 2001

For: **Method and Apparatus for Scrambling  
Program Data for Future Viewing**

Art Group: 6441

Examiner: Paula W. Klimach

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a Notice of Allowance. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

04/15/2005 EFLORES 00000056 09771363

01 FC:1806

180.00 0P

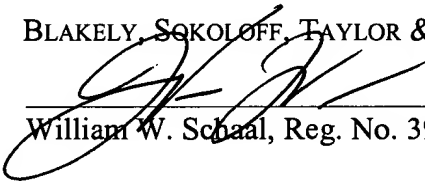
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

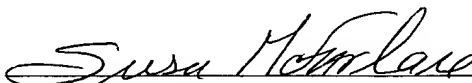
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: April 12, 2005

  
\_\_\_\_\_  
William W. Schaaf, Reg. No. 39,018

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
\_\_\_\_\_  
Susan McFarlane

04-12-05

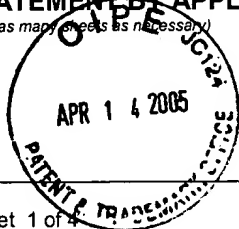
Date

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

<b>Application Number</b>	09/771,363
<b>Filing Date</b>	January 26, 2001
<b>First Named Inventor</b>	Brant L. Candelore
<b>Group Art Unit</b>	2153
<b>Examiner Name</b>	Paula W. Klimach

Sheet 1 of 4

Attorney Docket No: 80398P213

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-4,381,519	04/26/1983	Wilkinson, James H., et al.			09/14/1981
	US-4,521,853	06/04/1985	Gutttag, Karl M.			06/30/1982
	US-4,634,808	01/06/1987	Moerder, Karl E.			03/15/1984
	US-4,700,387	10/13/1987	Hirata, Shigeaki			07/05/1985
	US-4,703,351	10/27/1987	Kondo, Tetsujiro			08/22/1985
	US-4,703,352	10/27/1987	Kondo, Tetsujiro			12/17/1985
	US-4,710,811	12/01/1987	Kondo, Tetsujiro			12/17/1985
	US-4,722,003	01/26/1988	Kondo, Tetsujiro			11/19/1986
	US-4,772,947	09/20/1988	Kono, Tetsujiro			12/17/1986
	US-4,788,589	11/29/1988	Kondo, Tetsujiro			11/25/1986
	US-4,802,215	01/31/1989	Arthur, G. M.	380	21	03/15/1985
	US-4,803,725	02/07/1989	Donald, R. H., et al.	380	44	05/14/1987
	US-4,887,296	12/12/1989	Horne, Donald R.			10/16/1987
	US-4,890,161	12/26/1989	Kondo, Tetsujiro			01/30/1989
	US-4,944,006	07/24/1990	Citta, Richard W., et al.	380	20	04/25/1989
	US-4,953,023	08/28/1990	Kondo, Tetsujiro			09/15/1989
	US-4,995,080	02/19/1991	Bestler, Caitlin B., et al.	380	21	07/16/1990
	US-5,018,197	05/21/1991	Jones, Gary A., et al.	380	20	07/30/1990
	US-5,023,710	06/11/1991	Kondo, Testujiro , et al.			10/24/1990
	US-5,138,659	08/11/1992	Kelkar, Kris , et al.			05/02/1991
	US-5,142,537	08/25/1992	Kutner, Michael A., et al.			02/02/1990
	US-5,144,662	09/01/1992	Welmer, Harm J.			12/21/1990
	US-5,196,931	03/23/1993	Kondo, Tetsujiro			12/23/1991
	US-5,241,381	08/31/1993	Kondo, Tetsujiro			08/16/1991
	US-5,247,575	09/21/1993	Sprague, Peter J., et al.	380	9	04/24/1992
	US-5,258,835	11/02/1993	Kato, Shiro			07/10/1991
	US-5,379,072	01/03/1995	Kondo, Tetsujiro			12/08/1992
	US-5,416,651	05/16/1995	Uetake, Akihiro , et al.			10/30/1991
	US-5,420,866	05/30/1995	Wasilewski, Anthony J., et al.	370	110.1	05/30/1995
	US-5,469,216	11/21/1995	Takahashi, Kenji , et al.			11/30/1994
	US-5,473,692	12/05/1995	Davis, Derek L.			09/07/1994

**EXAMINER****DATE CONSIDERED**

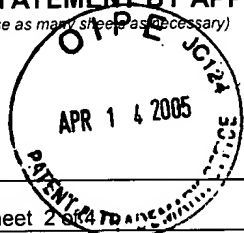
Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Sheet 2 of 4

Complete if Known

<b>Application Number</b>	09/771,363
<b>Filing Date</b>	January 26, 2001
<b>First Named Inventor</b>	Brant L. Candelore
<b>Group Art Unit</b>	2153
<b>Examiner Name</b>	Paula W. Klimach

Attorney Docket No: 80398P213

	US-5,481,554	01/02/1996	Kondo, Tetsujiro			08/31/1993
	US-5,535,276	07/09/1996	Ravi, G.	380	25	11/09/1994
	US-5,539,823	07/23/1996	Martin, Thomas F., et al.	380	20	07/27/1994
	US-5,539,828	07/23/1996	Davis, Derek L.			05/31/1994
	US-5,561,713	10/01/1996	Suh, Jin-Woo	380	10	07/18/1994
	US-5,568,552	10/22/1996	Davis, Derek L.			10/22/1996
	US-5,574,787	11/12/1996	Ryan, John O.			07/25/1994
	US-5,600,721	02/04/1997	Kitazato, Naohisa			07/27/1994
	US-5,652,795	07/29/1997	Eillon, Douglas M., et al.			11/13/1995
	US-5,663,764	09/02/1997	Kondo, Tetsujiro, et al.			07/19/1995
	US-5,742,680	04/21/1998	Jerrell, W.	380	16	04/21/1998
	US-5,751,813	05/12/1998	Dorenbos, David	380	49	04/29/1996
	US-5,757,417	05/26/1998	Aras, Caglan M., et al.	348	10	09/23/1997
	US-5,757,909	05/26/1998	Park, Tae J.			11/22/1995
	US-5,768,539	06/16/1998	Metz, Erik C., et al.			12/17/1996
	US-5,796,829	08/18/1998	Newby, Charles F., et al.			06/24/1996
	US-5,805,712	09/08/1998	Davis, Derek L.			12/29/1995
	US-5,805,762	09/08/1998	Boyce, Jill M., et al.			04/18/1994
	US-5,818,934	10/06/1998	Cuccia, David W.			12/18/1996
	US-5,825,879	10/20/1998	Davis, Derek L.			09/30/1996
	US-5,870,474	02/09/1999	Wasiliewski, Anthony J., et al.			12/29/1995
	US-5,894,516	08/13/1999	Brandenburg, Barbara B.	380	4	07/10/1996
	US-5,915,018	06/22/1999	Aucsmith, David W.	380	4	11/05/1996
	US-5,917,915	06/29/1999	Masaki, H.			06/20/1995
	US-5,933,500	08/03/1999	Blatter, Harold, et al.			11/27/1996
	US-5,949,877	09/07/1999	Traw, Chandler B., et al.			01/30/1997
	US-5,949,881	09/07/1999	Davis, Derek L.			12/04/1995
	US-6,021,199	02/01/2000	Isibashi, Yasuhiro	380	10	10/17/1997
	US-6,021,201	02/01/2000	Bakhle, Ashutosh, et al.			01/07/1997
	US-6,028,932	02/22/2000	Park, Tae J.			04/01/1998
	US-6,064,748	05/16/2000	Hogan, Josh	382	100	01/16/1998
	US-6,069,647	05/30/2000	Sullivan, Robert R., et al.			01/29/1998
	US-6,073,122	06/06/2000	Wool, Avishai			08/15/1997
	US-6,088,450	07/11/2000	Davis, Derek L., et al.			04/17/1996

EXAMINER

DATE CONSIDERED

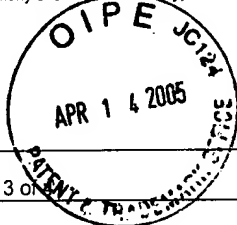
Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Sheet 3 of 3

Complete if Known

<b>Application Number</b>	09/771,363
<b>Filing Date</b>	January 26, 2001
<b>First Named Inventor</b>	Brant L. Candelore
<b>Group Art Unit</b>	2153
<b>Examiner Name</b>	Paula W. Klimach

Attorney Docket No: 80398P213

	US-6,105,134	08/15/2000	Pinder, Howard G., et al.			07/31/1998
	US-6,118,873	09/12/2000	Lotspiech, Jeffrey B., et al.			04/24/1998
	US-6,154,206	11/28/2000	Ludtke, Harold A.			01/14/1999
	US-6,157,719	12/05/2000	Wasilewski, Anthony J., et al.			07/31/1998
	US-6,185,546	02/06/2001	Davis, Derek L.			06/12/1998
	US-6,199,053	03/06/2001	Herbert, Howard C., et al.			04/08/1999
	US-6,209,098	03/27/2001	Davis, Derek L.			09/21/1998
	US-6,226,618	05/01/2001	Downs, Edgar			08/13/1998
	US-6,229,895	05/08/2001	Son, Yong H., et al.	380	200	03/12/1999
	US-6,263,506	07/17/2001	Ezaki, Tadashi, et al.			08/28/1997
	US-6,266,480	07/24/2001	Ezaki, Tadashi, et al.			09/16/1997
	US-6,289,455	09/11/2001	Kocher, Paul C., et al.			09/02/1999
	US-6,292,892	09/18/2001	Davis, Derek L.			03/15/2000
	US-6,330,672	12/11/2001	David, Hilton S.	713	176	06/30/1998
	US-6,351,538	02/26/2002	Uz, K. M.			10/06/1998
	US-6,389,533	06/04/2002	Davis, Derek L., et al.			02/05/1999
	US-6,415,031	07/02/2002	Colligan, Michael, et al.	380	200	03/20/2000
	US-6,463,152	10/08/2002	Takahashi, Masayuki	380	201	02/25/1999
	US-6,510,554	01/21/2003	Gordon, Donald F., et al.	725	90	04/27/1998
	US-6,529,526	03/04/2003	Schneidewend, Daniel Richard			11/12/1998
	US-6,587,561	07/01/2003	Sered, Yishai, et al.	380	241	02/04/1999

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
	EP-EP0471373	02/19/1992	Esserman, James N.			
	EP-EP0527611	07/08/1992	Murakami, Yoshihiro			
	EP-EP0558016	02/25/1993	Yamakda, Takeaki, et al.			
	EP-EP0610587	12/17/1993	Oguro, Masaki			
	EP-EP0866615	09/23/1998	Masahiko, Sato			
	EP-EP1187483	03/13/2002	Tehranchi, Babak			
	JP-JP11243534	10/08/2002	Takahashi,			
	WO-WO00/31964	06/02/2000	Jandel, Magnus, et al.			
	WO-WO01/78386	10/18/2001	Andrew, Augustine			
	WO-WO8607224	12/04/1986	Lucas, Keith			
	WO-WO9738530	10/16/1997	Rix, Simon, et al.			

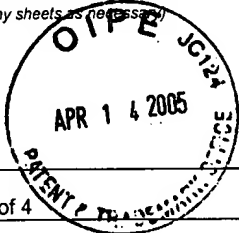
EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO  
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)



Sheet 4 of 4

<i>Complete if Known</i>	
<b>Application Number</b>	09/771,363
<b>Filing Date</b>	January 26, 2001
<b>First Named Inventor</b>	Brant L. Candelore
<b>Group Art Unit</b>	2153
<b>Examiner Name</b>	Paula W. Klimach
<b>Attorney Docket No: 80398P213</b>	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

**EXAMINER**

**DATE CONSIDERED**